

THE INSTITUTE OF CHARTERED ACCOUNTANTS (GHANA)

QUALITY ASSURANCE MONITORING DEPARTMENT

NEW FIRM REGISTRATION FORM

NAME OF FIRM: ------

The Chief Executive Officer
The Institute of Chartered Accountants (Ghana)
P.O. Box GP 4268
Accra

Tel: 0544336701/2 E-mail: info@icagh.com Website: www.icagh.com

Please note that the information provided on the form will be subjected to validation during the initial assessment visit.

| 1. Firm's Contact Details |
|---|
| Name of Practising Firm: |
| Postal Address: |
| Physical Address: |
| Digital Address: |
| Telephone numbers: Office |
| E-mail Addresses: Office |
| Attach a certified copy of the firm's certificate of incorporation, copy of certificate to commence business and copy of the Forn A or B. |
| 3. Services Offered (Please tick those applicable) |
| ☐ Audit and Assurance ☐ Preparation of Financial Statements ☐ Tax ☐ Advisory ☐ Consultancy |
| |

- **4.** Ownership and structure (Provide information on attachment)
 - Partners names, qualifications, membership numbers and email addresses

- Names of partners holding practising certificates (attach copy of current certificate to practice)
- Name of Managing Partner
- Name of Contact Person
- Partners curriculum vitaes
- Firms/entities in group (entities under the same management)
- Connected firms/entities (other firms outside the group that is connected in anyway)

| 5. | Other Ownership |
|----|--|
| | Is the partner or any of the partners a partner in any other firm? |
| | If yes, please indicate the name of the firm and the name of the partner |
| | |
| | |

6. Staff

Number of

| 0 | Senior Managers | |
|---|-----------------------|--|
| 0 | Managers | |
| 0 | Other qualified staff | |
| 0 | Trainees | |
| 0 | Other staff | |

- How many Chartered Accountants in the firm are members of the Institute?
- 7. Financials (provide details of the last three (3) years financial statements in the table below) in Ghana Cedis (GH¢)

| YEAR | TOTAL ANNUAL AUDIT INCOME (GH¢) | TOTAL ANNUAL NON-AUDIT INCOME (GH¢) | TOTAL ANNUAL FIRM INCOME (GH¢) |
|------|---------------------------------|--|--------------------------------|
| | Α | В | =A+B (C) |
| 2020 | | | |
| 2019 | | | |
| 2018 | | | |

NB: (If your financial statements are in other currencies indicate the exchange rate used to convert to Ghana Cedis). Also indicate your year-end date.

8. Audit /Non Audit clients

| • Total number (categorized into the following indus | stries) | | |
|---|---------|--|--|
| Industries | | | |
| Banking | | | |
| Non-Banking Financial Institutions | | | |
| Insurance | | | |
| Telecommunications | | | |
| Mining | | | |
| Oil and Gas | | | |
| Charitable organizations | | | |
| Public Sector (i.e. Ministries, MMDA's, Project audits) | | | |
| Project audit for Donors | | | |
| Other (Please specify) | | | |
| Listed companies audits | | | |
| Number | | | |
| Provide names of Listed companies | | | |
| | | | |
| | | | |
| 9. Professional indemnity insurance | | | |
| Limit of indemnity | | | |
| Name of Insurer | | | |

10. Audit procedures

Renewal date

- 1. Commercially available or developed within the firm. If commercially available provide details.
- 2. Disclosure checklists used. List them

11. Monitoring audit quality

- Does the firm have procedures to monitor compliance with auditing rules and regulations annually?
- Describe the scope of the monitoring activities
- Name and designation of the audit compliance principal (a sole practitioner will be the audit compliance principal)

| Signing of audit opinions by: (Provide names and practicing licence numbers for the current year and membership numbers). (NB: Attached extra pages where necessary) |
|---|
| 13. Solicitor's name and address: |
| 14. Continuity of Practice Arrangement (provide details) |
| Completed By: |
| Authorized By: |
| Date: |
| DECLARATION |

I declare and affirm that the statements made in this application, including accompanying documents, are true, complete and correct. I understand that any false or misleading information in, or in connection with, my firm's registration may be cause for denial or loss of licensure.

| Signature of the applicant | |
|----------------------------|--|
| | |
| | |
| Name of applicant | |
| | |

Date: _____/____/

QAM Form 001